

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** Jack O. Chu, et al.                      **Examiner:** Unassigned  
**Serial No:** To be assigned                      **Art Unit:** Unassigned  
**Filed:** Herewith                      **Docket:** YOR920010308US3 (16315B)  
**For:** EPITAXIAL AND POLYCRYSTALLINE                      **Dated:** February 10, 2004  
GROWTH OF  $\text{Si}_{1-x}\text{yGe}_x\text{Cy}$  AND  $\text{Si}_{1-y}\text{Cy}$   
ALLOY LAYERS ON Si BY UHV-CVD

Commissioner for Patents  
United States Patent Office  
Alexandria, VA 23313-1450

**PRELIMINARY AMENDMENT**

Sir:

In connection with filing the above-identified application under 37 C.F.R. §1.53(b), applicants submit the following amendments and remarks for consideration by the Examiner and entry of record in the above-identified patent application.

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

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**Date of Deposit:** February 10, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner for Patents and Trademarks, Alexandria, VA 22313-1450 on February 10, 2004.

Dated: February 10, 2004

  
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Leslie S. Szivos, Ph.D.